ROCK COUNTY BOARD OF SUPERVISORS’ MEETING
THURSDAY, MAY 23, 2019 – 6:00 P.M.
COUNTY BOARD ROOM/COURTROOM H
FOURTH FLOOR/COURTHOUSE EAST

Agenda

1. CALL TO ORDER
2. INVOCATION & PLEDGE OF ALLEGIANCE
3. ROLL CALL
4. ADOPTION OF AGENDA
5. APPROVAL OF MINUTES – May 9, 2019
6. PUBLIC HEARING
7. CITIZEN PARTICIPATION, COMMUNICATIONS AND ANNOUNCEMENTS
8. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
   A. Appointment to the City of Janesville Joint Review Board to Tax Incremental District #36
   B. Appointment to the City of Janesville Joint Review Board to Tax Incremental District #35
9. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS
   A. Proclaiming May 2019 Treatment Court Month
   B. Proclaiming Emergency Medical Services Week
   C. To Recognize Rock County Foster Families
   D. Recognizing David Hayes for Service to Rock Haven
10. INTRODUCTION OF NEW RESOLUTIONS OR ORDINANCES BY SUPERVISORS FOR REFERRAL TO APPROPRIATE COMMITTEE
11. REPORTS
   A. Capital Improvement Plan – Randy Terronez
   B. Active Shooter Training Exercise – Shena Kohler
12. NEW BUSINESS
   A. Supplementary Appropriations and Budget Changes - Roll Call
      1. Amending the 2019 Human Services Department Budget to Accept ADRC-COA Integration Grant Funds and Creating an Advisory Committee

The County of Rock will provide reasonable accommodations to people with disabilities. Please contact us at 608-757-5510 or e-mail countyadmin@co.rock.wi.us at least 48 hours prior to a public meeting to discuss any accommodations that may be necessary.
ROCK COUNTY BOARD OF SUPERVISORS
MAY 23, 2019
Page 2

B. Contracts – Roll Call
1. Authorizing Upgrade for County Citrix Environment
2. Authorizing Payment for Baker Tilly Security Audit
3. Authorizing the Purchase of the Property at 1717 Center Avenue and Amending the 2019 Facilities Management Capital Budget
4. Retaining Architectural/Engineering Firm for Design Services for the Renovation of 1717 Center Avenue Building and Amending the 2019 Facilities Management Budget

C. Initial Resolution Authorizing General Obligation Bonds and/or Notes in an Amount Not to Exceed $5,200,000

D. In Support of Reforming Wisconsin’s Eminent Domain Laws

E. EXECUTIVE SESSION: Per Section 19.85(1)(g), Wis. Stats. – Confer with Legal Counsel about Pending Litigation

13. ADJOURNMENT
The Rock County Board of Supervisors met, pursuant to adjournment on April 25, 2019, at 6:00 p.m. in the Courthouse at Janesville, Wisconsin.

Chair Podzilni called the meeting to order. Supervisor Hawes gave the invocation.

3. Roll Call.
At roll call, Supervisors Peer, Fell, Aegerter, Beaver, Potter, Mawhinney, Fox, Richard, Driscoll, Gustina, Davis, Thomas, Knudson, Rynes, Rashkin, Gramke, Yeomans, Pleasant, Brill, Schulz, Zajac, Bostwick, Sweeney, Hawes, Owens, Brien and Podzilni were present. Supervisor Bussie was absent. PRESENT – 27. ABSENT – 1. VACANT – 1.

QUORUM PRESENT

4. Adoption of Agenda.
Supervisors Owens and Brien moved the Agenda. Agenda ADOPTED as follows:

1. CALL TO ORDER
2. INVOCATION & PLEDGE OF ALLEGIANCE
3. ROLL CALL
4. ADOPTION OF AGENDA
5. APPROVAL OF MINUTES – April 25, 2019
6. PUBLIC HEARING
7. CITIZEN PARTICIPATION, COMMUNICATIONS AND ANNOUNCEMENTS
8. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
   A. Appointments to the Behavioral Health Redesign Steering Committee (BHRSC)
   B. Appointments to the Board of Health
   C. Appointment to the Transportation Coordinating Committee
   D. Appointment to Supervisory District 26
   E. Appointment to Board of Health
   F. Appointment to Human Services Board
9. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS
   A. Recognizing Connie McLain for Service to Rock Haven
   B. Recognizing Myrna Garunay for Service to Rock Haven
   C. Proclaiming Correctional Employees Week
   D. Proclaiming Police Officer Week
   E. To Recognize May 2019 as Aging and Disability Resource Center Month
   F. To Recognize May 2019 as Mental Health Awareness Month
10. INTRODUCTION OF NEW RESOLUTIONS OR ORDINANCES BY SUPERVISORS FOR REFERRAL TO APPROPRIATE COMMITTEE
11. REPORTS
   A. Agreement to Purchase Property at 1717 Center Avenue, Janesville
Proceedings of the Rock County Board of Supervisors
May 9, 2019

12. NEW BUSINESS
   A. Supplementary Appropriations and Budget Changes – Roll Call
      1. Accepting High Intensity Drug Trafficking Areas (HIDTA) Grant and Amending
         the Sheriff's Budget
   B. Contracts – Roll Call
      1. Contracting for the Installation of a Back-Up Boiler at the Diversion and UW
         Extension Building
   C. Flat Rate Fee Proposal

13. ADJOURNMENT

5. Approval of Minutes – April 25, 2019
Supervisors Hawes and Bostwick moved to approve the minutes as submitted. ADOPTED by
acclamation.

7. Citizen Participation, Communications and Announcements
Supervisor Owens spoke on Pipeline Safety Meeting. Supervisor Davis reminded everyone of the Law
Enforcement Memorial Ceremony. Clerk Tollefson reminded everyone to thank their clerks during
Municipal Clerks Week. Administrator Smith noted handouts on Courthouse Security and Employee
Health Insurance meetings and reminded everyone of the Safecon Airport Event.

8.A. Appointments to the Behavioral Health Redesign Steering Committee
Position: Members of the Behavioral Health Redesign Steering Committee
Appointment: Patty Slatter, Deputy Chief John Olsen
Michelle Babilus, Michelle Rose-Barajas
Jennifer Weidner, Billy Bob Grahn
Effective Date: May 9, 2019
Supervisors Beaver and Potter moved the above appointments. ADOPTED by acclamation.

8.B. Appointments to the Board of Health
Position: Members of the Board of Health
New Appointments: Dr. Kaitlyn Meyers, DVM, MPH
                Dr. Connie Winter, DDS
                Dr. Vijaya Somaraju
                Judith Wade, WHNP
                Eric Gresens, R. Ph.
Effective Date: May 9, 2019
Supervisors Peer and Driscoll moved the above appointments. ADOPTED by acclamation.

8.C. Appointment to the Transportation Coordinating Committee
Position: Member of the Transportation Coordinating Committee
Appointment: Teri Downing
Effective Date: May 9, 2019
Supervisors Knudson and Thomas moved the above appointments. ADOPTED by acclamation.

8.D. Appointment to Supervisory District #26
Position: Representative to Supervisory District #26
New Appointment: David Homan
Effective Date: May 9, 2019
Supervisors Mawhinney and Yeomans moved the above appointments. ADOPTED by acclamation.

8.E. Appointment to Board of Health
Position: Member of the Board of Health
New Appointment: David Homan
Effective Date: May 9, 2019
Supervisors Pleasant and Mawhinney moved the above appointments. ADOPTED by acclamation.
8.F. Appointment to Human Services Board
Position: Member of the Human Services Board
New Appointment: David Homan
Effective Date: May 9, 2019
Supervisors Mawhinney and Knudson moved the above appointments. ADOPTED by acclamation.

9.A. Recognizing Connie McLain for Service to Rock Haven
Resolution No. 19-5A-235
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this 9th day of May, 2019 does hereby recognize Connie McLain for her 19 years of service and extend their best wishes to her in her future endeavors.
Supervisors Pleasant and Driscoll moved the above resolution. ADOPTED by acclamation.

9.B. Recognizing Myrna Garunay for Service to Rock Haven
Resolution No. 19-5A-236
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this 9th day of May, 2019 does hereby recognize Myrna Garunay for her 33 years, 4 months of service and extend their best wishes to her in her future endeavors.
Supervisors Hawes and Gramke moved the above resolution. ADOPTED by acclamation.

9.C. Proclaiming Correctional Employees Week
Resolution No. 19-5A-237
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this 9th day of May, 2019 proclaim May 5-11, 2019 to be Correctional Employees Week and call upon all our citizens to especially honor and show our appreciation for correctional employees serving Rock County.
Supervisors Beaver and Aegerter moved the above resolution. ADOPTED by acclamation.

9.D. Proclaiming Police Officer Week
Resolution No. 19-5A-238
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors assembled this 9th day of May, 2019, proclaim May 12-18, 2019, to be Rock County Law Enforcement Officers Week and call upon all our citizens to especially honor and show our appreciation for Law Enforcement Officers serving Rock County.
Supervisors Owens and Potter moved the above resolution. ADOPTED by acclamation.

9.E. To Recognize May 2019 as Aging and Disability Resource Center Month
Resolution No. 19-5A-239
NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors, duly assembled this 9th day of May, 2019, does hereby recognize May 2019 as Aging and Disability Resource Center month. BE IT FURTHER RESOLVED that the County Clerk be authorized and directed to furnish a copy of this resolution to the Aging and Disability Resource Center of Rock County for their dedication to the well-being and independence of Rock County's older adults and adults with disabilities.
Supervisors Thomas and Knudson moved the above resolution. ADOPTED by acclamation.

9.F. To Recognize May 2019 as Mental Health Awareness Month
Resolution No. 19-5A-240
NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors, duly assembled this 9th day of May, 2019, does hereby recognize the month of May 2019 Mental Health Awareness Month.
Supervisors Aegerter and Rynes moved the above resolution. ADOPTED by acclamation.

10. Supervisor Schulz introduced resolution Placing Health Care Advisory Referendum on the November 2019 County Ballot.

11.A. Agreement to Purchase Property at 1717 Center Avenue, Janesville
Information given by Kate Luster (Human Services), Brent Sutherland (Facilities Management), Josh Smith (County Administrator) and Sherry Oja (Finance Director).
Proceedings of the Rock County Board of Supervisors
May 9, 2019

12.A.1. Accepting High Intensity Drug Trafficking Areas (HIDTA) Grant and Amending the Sheriff's
Budget Resolution No. 19-SA-241

NOW, THEREFORE, BE IT RESOLVED by the Rock County Board of Supervisors duly assembled this
9th day of May, 2019 to approve and authorize the acceptance of $60,000 of HIDTA grant funds and to
authorize the Sheriff to sign the award documents.

BE IT FURTHER RESOLVED that the 2019 Sheriff's budget be amended as follows:

<table>
<thead>
<tr>
<th>Account/Description</th>
<th>Source of Funds</th>
<th>Increase (Decrease)</th>
<th>Amended Budget</th>
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<tr>
<td>21-2140-2019-42100 Federal Aid Use of Funds</td>
<td>$0</td>
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<td>21-2140-2019-61210 Overtime</td>
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<td>21-2140-2019-62400 Repair and Maintenance Services</td>
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<td>21-2140-2019-63904 Policing and First Aid Supplies</td>
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<td>21-2140-2019-63908 Investigative Expenses</td>
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<tr>
<td>21-2140-2019-65331 Equipment Lease</td>
<td>$0</td>
<td>$10,800</td>
<td>$10,800</td>
</tr>
</tbody>
</table>

Supervisors Owens and Hawes moved the above resolution. ADOPTED on the following roll call vote.
Supervisors Peer, Fell, Aegerter, Beaver, Potter, Mawhinney, Fox, Richard, Driscoll, Gustina, Davis,
Thomas, Knudson, Rynes, Rashkin, Gramke, Yeomans, Pleasant, Brill, Schulz, Zajac, Bostwick,
Sweeney, Hawes, Owens, Brien and Podzilni voted in favor. Supervisor Homan abstained. Supervisor
Bussie was absent. AYES - 27. NOES - 0. ABSTAIN - 1. ABSENT - 1.

Resolution No. 19-SA-242

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this
9th day of May, 2019, authorize a purchase order be issued to Illingworth-Kilgust Mechanical Inc. of West
Allis, Wisconsin, in the amount of $31,887 for the installation of the county owned back-up boiler.
BE IT FURTHER RESOLVED, that a $3,000 contingency also be approved to cover any unforeseen
items.

Supervisors Brill and Rashkin moved the above resolution. ADOPTED on the following roll call vote.
Supervisors Peer, Fell, Aegerter, Beaver, Potter, Mawhinney, Fox, Richard, Driscoll, Gustina, Davis,
Thomas, Knudson, Rynes, Homan, Rashkin, Gramke, Yeomans, Pleasant, Brill, Schulz, Zajac, Bostwick,
Sweeney, Hawes, Owens, Brien and Podzilni voted in favor. Supervisor Bussie was absent.
AYES - 28. NOES - 0. ABSENT - 1.

12.C. Flat Rate Fee Proposal Resolution No. 19-SA-243

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this
9th day of May, 2019, that the Rock County Sheriff's Office Civil Process Bureau is authorized to collect
flat rate fees.

Supervisors Owens and Rynes moved the above resolution. Knudson and Zajac moved to amend line 8,
replacing 'a second' with 'each additional'. Amendment approved by acclamation. Amended resolution
ADOPTED on the following roll call vote. Supervisors Peer, Fell, Aegerter, Beaver, Potter, Mawhinney,
Fox, Richard, Driscoll, Gustina, Davis, Thomas, Knudson, Rynes, Homan, Rashkin, Gramke, Yeomans,
Pleasant, Brill, Schulz, Zajac, Bostwick, Sweeney, Hawes, Owens, Brien and Podzilni voted in favor.
Supervisor Bussie was absent. AYES - 28. NOES - 0. ABSENT - 1.
13. **Adjournment**
Supervisors Bostwick and Rynes moved to adjourn at 7:24 p.m. to Thursday, May 23, 2019 at 6:00 p.m. ADOPTED by acclamation.

Prepared by Lisa Tollefson, County Clerk
NOT OFFICIAL UNTIL APPROVED BY THE COUNTY BOARD.
POSITION: Member of City of Janesville Joint Review Board to Tax Incremental District #36

AUTHORITY: Wis. Stats. 66.1105(4) and Wisconsin Act 257

TERM: Indefinite

PER DIEM: Yes, Per Board Rule IV.J.

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Craig Gramke
4220 Ruger Avenue
Janesville, WI. 53546

EFFECTIVE DATE: May 23, 2019
APPOINTMENT TO THE CITY OF JANESVILLE JOINT REVIEW BOARD
TO TAX INCREMENTAL DISTRICT #35

POSITION: Member of City of Janesville Joint Review Board to Tax Incremental District #35

AUTHORITY: Wis. Stats. 66.1105(4) and Wisconsin Act 257

TERM: Indefinite

PER DIEM: Yes, Per Board Rule IV.J.

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Richard Bostwick
61 Harrison Street
Janesville, WI 53545

EFFECTIVE DATE: May 23, 2019
RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

Criminal Justice Coordinating Council

May 2, 2019
DATE DRAFTED

PUBLIC SAFETY & JUSTICE COMMITTEE

Mary Beaver, Chair
Phillip Owens, Vice Chair
Kara Hawes

Brian Knudson
Teny Fell

PROCLAIMING MAY 2019 TREATMENT COURT MONTH

WHEREAS, this year marks the 30th anniversary of treatment courts; and,

WHEREAS, there are now more than 3,000 treatment courts nationwide; and,

WHEREAS, treatment courts are the cornerstone of criminal justice reform sweeping the nation; and

WHEREAS, treatment courts have served more than 1.5 million individuals; and,

WHEREAS, they are now recognized as the most successful justice system intervention in our nation’s history; and,

WHEREAS, they save an average of $6,000 for every individual they serve; and,

WHEREAS, treatment courts significantly improve substance use disorder treatment outcomes, substantially reduce addiction and related crime, and do so at less expense than any other criminal justice strategy; and,

WHEREAS, treatment courts improve education, employment, housing and financial stability, promote family reunification, reduce foster care placements, and increase the rate of addicted mothers delivering babies who are fully drug free; and,

WHEREAS, treatment courts facilitate community-wide partnerships, bringing together public safety and public health professionals; and

WHEREAS, treatment courts demonstrate that when one person rises out of substance use and crime, we all rise; and; and,

WHEREAS, Rock County started its Drug Court in April 2007, and has had 639 total participants and 291 successful graduates as of May 2, 2019; and,

WHEREAS, Rock County started its Veterans Court in September 2009, and has had 81 total participants and 57 successful graduates as of May 2, 2019; and,

WHEREAS, Rock County started its OWI Court in October 2012, and has had 104 total participants and 62 successful graduates as of May 2, 2019.

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this day of ______________, 2019, does hereby declare that a “Treatment Court Month” be established during the month of May 2019.

Respectfully submitted,

PUBLIC SAFETY & JUSTICE COMMITTEE

Mary Beaver, Chair
Phillip Owens, Vice Chair
Kara Hawes

Brian Knudson
Teny Fell

19-5B-244
RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

Supervisor Mary Beaver
INITIATED BY

Public Safety & Justice Committee
SUBMITTED BY

Randy Terronez
DRAFTED BY

April 24, 2019
DATE DRAFTED

PROCLAIMING EMERGENCY MEDICAL SERVICES WEEK

WHEREAS, emergency medical services is a vital public service; and,
WHEREAS, EMS teams are available to provide lifesaving care 24 hours a day, seven days a week; and,
WHEREAS, the EMS system includes emergency medical technicians, paramedics, firefighters, physicians, nurses, educators, administrators, and others; and,
WHEREAS, career and volunteer EMS team members are dedicated and selfless professionals who engage in thousands of hours of training to enhance their skills; and,
WHEREAS, the citizens of Rock County benefit from the knowledge, skills, and dedication of these EMS specialists as they work together to ensure prompt and appropriate treatment at the scene, on the way to the hospital, and in the emergency room; and,
WHEREAS, the Rock County Board of Supervisors wishes to honor the dedication of those who provide the day-to-day lifesaving services of medicine's "front line."

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this _____ day of __________, 2018 does hereby proclaim May 19-25, 2019 as Emergency Medical Services Week and expresses its appreciation for the life-saving work of these professionals in our community.

BE IT FURTHER RESOLVED, that the County Clerk is directed to send a copy of this resolution to each EMS agency within Rock County.

Respectfully submitted,

PUBLIC SAFETY & JUSTICE COMMITTEE

Mary Beaver, Chair

Terry Fell

Kara Hawes

Brian Knudson

Phillip Owens
TO RECOGNIZE ROCK COUNTY FOSTER FAMILIES

WHEREAS, the family, serving as the primary source of love, learning, self-esteem and nurturing, is the very foundation of our communities; and,

WHEREAS, being a Foster Parent requires specialized training, the ability to work cooperatively with service providers, and personal qualities which include: flexibility, tolerance, patience, humor and an understanding of the unique challenges of working within the child welfare system; and,

WHEREAS, in Rock County there are more than 231 children and youth in foster care being provided with a safe, secure and stable home due to the compassion and nurturing of a Foster Family or Relative Care Provider; and,

WHEREAS, 92 licensed Rock County Foster and Relative Care Families, who open their homes and hearts to children and families who are in crisis, play a vital role in helping them heal and reunify or launching children into successful adulthood; and,

WHEREAS, Foster Families also frequently adopt and offer permanency to foster children, resulting in a continuous need for more Foster Families; and,

WHEREAS, there are numerous individuals, and public and private organizations who work to increase public awareness of the needs of children in and leaving foster care, in addition to, the enduring and valuable contribution of foster parents, and the foster care “system” is only as good as those who choose to be a part of it; and,

WHEREAS, the Rock County Board of Supervisors, representing the citizens of Rock County, wishes to recognize the significance of Foster Families in our county for their dedication to the families and children of Rock County.

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors, duly assembled this ______ day of _______, 2019, does hereby recognize Rock County Foster Families for the dedicated and compassionate service they provide.

BE IT FURTHER RESOLVED that the County Clerk be authorized and directed to furnish a copy of this resolution to the Rock County Foster Care Unit Supervisor for sharing with Licensed Rock County Foster Families and with staff.
To Recognize Rock County Foster Care Families
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Respectfully Submitted,

HUMAN SERVICES BOARD

<table>
<thead>
<tr>
<th>Brian Knudson, Chair</th>
<th>Ashley Kleven</th>
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</thead>
<tbody>
<tr>
<td>Sally Jean Weaver-Landers</td>
<td>Kathy Schulz</td>
</tr>
<tr>
<td>Stephanie Aegerter</td>
<td>Terry Thomas</td>
</tr>
<tr>
<td>Vacant</td>
<td>Shirley Williams</td>
</tr>
<tr>
<td>Terry Fell</td>
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</tbody>
</table>
RESOLUTION

ROCK COUNTY BOARD OF SUPERVISORS

RECOGNIZING DAVID HAYES FOR SERVICE TO ROCK HAVEN

WHEREAS, David Hayes has served the citizens of Rock County over the past 25 years, 2 months as a dedicated and valued employee of Rock County; and,

WHEREAS, David Hayes began his career with Rock Haven as a Food Service Manager on April 19, 1994; and,

WHEREAS, David Hayes has worked diligently in that position until his retirement on June 3, 2019; and,

WHEREAS, the Rock County Board of Supervisors, representing the citizens of Rock County, wishes to recognize David Hayes for his long and faithful service.

NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this ___ day of ____ _, 2019 does hereby recognize David Hayes for his 25 years, 2 months of service and extend their best wishes to him in his future endeavors.

Respectfully submitted,

HEALTH SERVICES COMMITTEE

COUNTY BOARD STAFF COMMITTEE

Norvain Pleasant, Chair

J. Russell Podzilni, Chair

Brenton Driscoll, Vice Chair

Mary Mawhinney, Vice Chair

Kathy Schulz

Henry Brill

Tom Brien

Betty Jo Buss

Kara Hawes

Louis Peer

Absent

Alan Sweeney

Absent

Terry Thomas

Absent

Bob Yeomans

Absent

Richard Bostwick
RESOLUTION NO. __-9-58-248
AGENDA NO. 12.A.1.(1)

RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

Katherine Luster
INITIATED BY
Human Services Board
SUBMITTED BY

Jennifer Thompson & Randy Terronez
DRAFTED BY

May 14, 2019
DATE DRAFTED

Amending the 2019 Human Services Department Budget
to Accept ADRC-COA Integration Grant Funds and Creating an Advisory Committee

WHEREAS, the Wisconsin Department of Health Services has encouraged counties to explore integration with Aging Departments and Aging and Disability Resource Centers; and,

WHEREAS, Rock County submitted a request for One-time funding and was awarded $18,855 to investigate a possible integration of the Aging and Disability Resource Center and Council on Aging; and,

WHEREAS, the project would contract with a UW Extension educator to facilitate the process that is estimated to take approximately seven months; and,

WHEREAS, a ten member advisory committee would assist in providing direction and feedback on various phases of the project; and,

WHEREAS, it is necessary to amend the 2019 budget to accept the grant funding to cover the project costs of the facilitator and Integration Study Advisory Committee.

NOW, THEREFORE, BE IT RESOLVED by the Rock County Board of Supervisors duly assembled this ___ day of _____, 2019, does hereby authorize the acceptance of ADRC-COA Integration funding.

BE IT FURTHER RESOLVED, that a ten member advisory committee be created with equal representation from the ADRC and COA representatives.

BE IT FURTHER RESOLVED, that the Human Services Department budget for 2019 be amended as follows:

<table>
<thead>
<tr>
<th>Account/Description</th>
<th>Source of Funds</th>
<th>Budget as of 04/1/19</th>
<th>Increase/Decrease in Budget</th>
</tr>
</thead>
</table>
| Program Expense              |                 | $20,000              | $185                        | $20,185
| Other Contracted Services    |                 | $0                   | $18,670                     | $18,670
| State Aid                    |                 | $1,056,307           | $18,855                     | $1,075,162


Amending the 2019 Human Services Department Budget to Accept ADRC – COA Integration Grant Funds and Creating an Advisory Committee

Respectfully submitted,

HUMAN SERVICES BOARD

Brian Knudson, Chair

Sally Jean Weaver-Landers, Vice Chair

Stephanie Aegerter

David Homan

Terry Fell

Ashley Kleven

Kathy Schulz

Terry Thomas

Shirley Williams

FINANCE COMMITTEE ENDORSEMENT

Reviewed and approved on a vote of __________

Mary Mawhinney, Chair Date

ADMINISTRATIVE NOTE:

Recommended.

Josh Smith
County Administrator

FISCAL NOTE:

This resolution accepts $18,855 in state aid for exploring integrating COA and the ADRC. No County matching funds are required.

Sherry Oja
Finance Director

LEGAL NOTE:

The County Board is authorized to accept grant funds pursuant to sec. 59.52(19), Wis. Stats. As an amendment to the adopted 2019 County Budget, this Resolution requires a 2/3 vote of the entire membership of the County Board pursuant to sec. 65.90(5)(a), Wis. Stats.

Richard Greenlee
Corporation Counsel
Executive Summary

The Wisconsin Department of Health Services has encouraged counties to explore integration with Aging Departments and Aging and Disability Resource Centers. Rock County submitted a request for one-time funding of $18,855 to investigate a possible integration of the Aging and Disability Resource Center and Council on Aging and the grant award is pending official notification on or about May 16.

Approximately 54 of 72 Wisconsin counties provide services in a merged setting.

The grant project will contract with the UW Extension to facilitate a several month study of the investigation which will be guided by a ten member advisory committee. Equal representation from ADRC and COA will be solicited. The project will be concluded by the end of the year.
RESOLUTION NO. 19-5E-249
AGENDA NO. 12.B.1.(1)

RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

Finance Committee
INITIATED BY

Finance Committee
SUBMITTED BY

Diana Arneson, Asst. to IT Dir
DRAFTED BY

May 7, 2019
DATE DRAFTED

AUTHORIZING UPGRADE FOR COUNTY CITRIX ENVIRONMENT

WHEREAS, one of the Information Technology Department's 2019 Strategic Initiatives is the budgeted upgrade of the County's Citrix environment; and,

WHEREAS, the 2019 Information Technology Department Capital Projects Account (07-1444-0000-67135) includes funding for the Citrix software upgrade; and,

WHEREAS, the Information Technology Department and the County's Citrix systems provider, EDCI, Inc., has developed the project plan for implementing the upgrade from Citrix 6.5 to Citrix 7.15 Long Term Service Release (LTSR).

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this ___ day of ____, 2019 that a Purchase Order for the upgrade from Citrix 6.5 to Citrix 7.15 LTSR be issued to EDCI, Inc. for an amount not to exceed $30,000.

Respectfully submitted,

FINANCE COMMITTEE

Mary Mawhinney, Chair
Mary Beaver, Vice Chair
Brent Fox
J. Russell Podzilni
Bob Yeomans

ADMINISTRATIVE NOTE:
Recommended.

FISCAL NOTE:
Funds were included in the budget for the cost of this project.

Sherry Oja
Finance Director

LEGAL NOTE:
The County Board is authorized to take this action pursuant to secs. 59.01 and 59.51, Wis. Stats. In addition, sec. 59.52(29), Wis. Stats. requires the project to be let to the lowest responsible bidder. EDCI, Inc. is the sole source provider.

Richard Greenlee
Corporation Counsel
Authorizing Upgrade to the County’s Citrix Environment

The Information Technology department currently supports a Citrix environment that is used by several County departments for critical application delivery. One of the strategic initiatives for the IT Department for 2019 is the project to upgrade the County’s Citrix environment from Citrix 6.5 to Citrix 7.15 LTSR. The purpose of the upgrade is to lower IT Desktop support efforts, lower the Desktop total cost of ownership, and upgrade the County’s mobile access to real time data. Additionally the Citrix servers will be upgraded from Server 2008 r2 to Server 2016. Since support for Server 2008 r2 is due to be discontinued on 1/14/2020, it is prudent for the Information Technology department to perform the update now before Server 2008 r2 support ends. The 7.15 LTSR version of Citrix also delivers a consistent virtual desktop interface allowing users to access their applications from any location and from any device that is on the County’s network or the Internet.

This project is the next step of the existing long-term project of Citrix technology that has been in-process within the County’s computer and network environment, and the project has relied on the professional services of EDCI, Inc. the leading implementation provider of Citrix enterprise solutions in the state of Wisconsin.

The purchase of both the Citrix software and the associated implementation services will be procured from EDCI, Inc., the County’s Citrix systems provider, and will total an amount not to exceed $30,000.
Rock Co Information Technology
Janesville, WI

Statement of Work

#004500

Citrix Upgrade 6.5 to 7.15 LTSR

April 17, 2019

By

EDCI

Celebrating

35

1979-2014

N779 Communication Drive
Appleton, WI 54914
920-733-0303
920-733-1049 fax

Dave Lang
Account Executive
920-882-1944
davel@edci.com

Heath Phillippi
Principal Citrix Engineer
920-882-1929
hphillippi@edci.com
Executive Summary

EDCi is pleased to have the opportunity to provide this Statement of Work to Rock Co Information Technology for the Citrix project. We are looking forward to working with you to achieve the business and information technology objectives identified in this project.

The proposed solution will provide Rock Co Information Technology the following benefits:

- Lower IT Desktop Support Efforts
- Lower Desktop Total Cost of Ownership
- Mobile Access to Real Time Data

If you require any further information or clarification on this document, please feel free to contact us. We are looking forward to the opportunity to work with Rock Co Information Technology and develop a strong partnership for success.
Project Scope & Approach

Environment Review
Verification of Citrix XenApp 6.5 environment is compatible with Citrix requirements 7.15 LTSR

- Verify License Server version
- Verify SQL version
- Verify Microsoft windows server operating system
  - Current Citrix Environment windows 2008r2 and 2012r2
  - Migrate to 2016
- Verify vDisk Master VM
- Verify memory resources on hypervisor
- Verify Current virtual PVS
- Verify Thin Clients
  - Support XenApp 7.15 LTSR
  - Supports HDX Insight for MAS Implementation

Note: Citrix environment must meet Citrix current release system requirements before each upgrade can take place to Citrix XenApp 7.15 LTSR.

XenDesktop LTSR Install
Side by Side upgrade of Citrix XenApp 6.5 to 7.15 long term service release (LTSR) environment on Windows 2016 with the latest updates and patches.

Virtual machines to be created to support Citrix XenApp 7.15 LTSR on existing VMware environment:

- Create 2 Citrix Data Collectors (DDC) as dedicated servers
- Create 2 Citrix StoreFront servers to front-end the XenApp environment
- Create 1 Citrix License server
- Create 2 Citrix XenApp application image for physical PVS

Migration from XenApp 6.5 to XenApp 7.15 LTSR

- Migration of DDC from server 2008r2 to Windows Server 2016
  - 4 hours have been allocated for published application migration.
- Migration from Web Interface 2008r2 to StoreFront on Windows Server 2016
- Migration of Citrix License server from 2008r2 to Windows Server 2016
- Migration of virtual PVS from 2012r2 to Windows Server 2016
  - If Rock Co Information Technology chooses to keep the current physical PVS servers they will be responsible for the Windows OS reinstall.

Knowledge Transfer for 7.15 LTSR

- Director and Studio
- VDA
- Basic admin tasks

Note: Microsoft Licensing NOT included:

- Microsoft Windows Server 2016
- Microsoft SQL
- Microsoft Windows 2016 User RDCals - per Citrix User
• Microsoft VDA for true VDI - per VDI user

**Workload Migration**

The following tasks will completed at the main Rock Co Information Technology datacenter to upgrade workloads to the latest Long Term Support release (v7.15 CU3 at the time of this writing).

- Review the current environment, including Active Directory and the Hypervisor, and determine if any existing conditions could affect the stability of the items within the scope of this project.
- Migrate current Windows 2008 R2 workloads into new XenDesktop LTSR farm
- Create updated Machine Catalogs and Delivery Groups to reflect the current production environments
  - Note: Migration of published applications is capped at 2 hours. Additional time can be added with change orders if needed
- Configure Citrix User Profile Manager according to EDCI and Citrix best practices

**Test Workload Creation**

In order to allow Rock Co Information Technology to test compatibility with Windows 2016 and Citrix's latest features, a new Windows 2016 based workload will be created.

- Create a new Windows 2016 image to test production applications and serve as base for future workloads
- Configure test group policies enabling latest HDX features such as Adaptive HDX and Framahawk

EDCI will also configure Citrix Machine Creation Services (MCS) during this step so that Rock Co Information Technology may evaluate it as a possible replacement for Provisioning Services.

**NetScaler**

Upgrade NetScaler to Current Realese access the new Citrix environment with the following functionality:

- ICA Proxy Access Gateway for the client devices with SSL encryption
- Mobile smartphone (iPad, Droid, iPhone) access to the XenApp/XenDesktop environment
- Load Balancing the two StoreFront servers
- Load Balancing XenApp XML Broker services
- Load Balance the PNAgent for thin clients, etc. to connect to instead of StoreFront

**Production Cutover**

Production user cutover to the new environment consisting of:

- System modifications as outlined above
- Design the Active Directory group membership method that will allow users access to the new Citrix environment. Actual group creation and membership additions will be completed by the customer.
- Document any changes to the environment, monitoring results, and any recommendations for performance improvements.
- Provide a baseline customer test plan of the common features that should be validated from the end user perspective.

**Scope Other**

- Implementing "Best Practices" for the Citrix environment including policies, licenses, and printing services.
• Provide knowledge transfer of the system implemented allowing I.T. staff the ability to support day-to-day Move/Add/Change tasks.
• Document the system as it was built which includes screen shots and IP/Naming set within the configurations.

Out of Scope
The following items will not be addressed in the scope of this project:
• Software or hardware bugs that are outside the control of EDCi.
• Client information that was incorrect or incomplete.
• Software, hardware, and labor requirements that are not part of this document.
• Subcontractor labor that is outside the control of EDCi.
• 3rd party software and hardware that is outside the control of EDCi.
• Network hardware, software, and QoS issues that are outside the control of EDCi.
• EDCi is not responsible for any delays during the course of the project that are outside of its control. This includes delay because of items not defined in the scope of work.
• Additional features included within the product sets not listed within the scope of this project.
• All hardware requirements, including SAN storage and server equipment, not included in the quote will be customer provided and available before EDCi engineers engage with the project.
• If after reviewing the current environment and it is determined that any existing conditions could affect the stability of the items within the scope of this project, EDCi will stop the project until these conditions are corrected either through a change order or by the customer.
• Production user cutover to the new environment unless specifically listed with the scope of this project.

Client Responsibilities
Rock Co Information Technology understands and accepts the responsibility regarding these services, which include the following:
• Complete all system testing, user acceptance testing and usability studies.
• Project Manage the entire effort, while EDCi will Project Manage the tasks assigned to it per the scope of this Statement of Work.
• Application installation and configuration in the Citrix environment. While EDCi will prepare the system for the applications, customer will actually install and configure the individual applications.
• Provide the Citrix client installation and configuration on the workstations. Configuration details will be provided by EDCi.
• Application/printing testing of the new system.
• System backups for the equipment.
• Add any/all testing users to access the new environment.
• Provide all hardware, software license/media.
• Provide SSL Wildcard Certificate (EDCi recommends godaddy.com) if Citrix NetScaler/Access Gateway is within scope.
• Install all Windows servers and join to the existing Active Directory domain.
• All hardware and VMware installation and configurations.
• All SAN configurations, physical attachments and storage unless specifically listed otherwise in the Scope section of this project.
• If utilizing XenMobile within the scope of this document, the Cloud Gateway App Controller requires an Apple subscription for application wrapping on an Apple Mac. Customer will provide subscription and Mac.
• All endpoints (thin client models, PC’s, mobile devices, etc.) should be tested before being deployed to ensure an adequate user experience, regardless of the state of Citrix Support.

Project Approach
The following depicts the approach that will be taken to complete the project objectives:
• Project planning
• Project kick off meeting
• Backup of existing systems
• Software/Hardware implementation
• Determine testing approach
• System testing
• Cutover
• Training/Knowledge transfer
• Project closure

Testing Approach

• As the project transitions into the Testing Phase, the EDCi Support Services team will be engaged to assist with issues resolution through the Client's System Testing process. EDCi recommends that each client develop System Test Procedure that it exercises through different applicable business scenarios of the Citrix-delivered software.
• EDCi's Support Services Engineers can address issues identified through System Testing prior to the next Project or Field Engineer visit. With the EDCi Support Engineers' ability to remotely resolve issues found during the System Testing process, they may be leveraged throughout the project depending on customer needs. EDCi will track and communicate updates on these issues via EDCi's Ticket System.
• Once identified issues through testing have been resolved by EDCi's Engineers, a Go/No-Go decision will be made. Clients can determine if they would prefer to have an EDCi Engineer on-site or if the Support Services team should be leveraged remotely.
Project Management, Deliverables, Assumptions And Concerns

Project Management
EDCi provides project management and technical direction for all projects regardless of size. For projects, such as this one, the project manager may provide the following support either remotely or onsite:

- Plan the project to fulfill the project objectives and scope.
- Schedule and coordinate the necessary resources to support the project.
- Complete Change Order documentation as required.
- Identify, escalate and document project issues as necessary.
- Complete project closeout process by obtaining customer's approval of the Project Closure form. If EDCi receives no feedback from customer on project closeout after five business days, EDCi will close the project.

Project Deliverables
It is important for Rock Co Information Technology to work from a firm foundation to complete the project. To this end, we will provide the following deliverables to ensure Rock Co Information Technology's success:

- Status reporting upon request. EDCi will prepare and submit weekly status reports indicating the work performed by EDCi personnel.
- Project schedule that will define tasks to be accomplished, dates of completion, and responsibility for completion and also act as a project status mechanism.
- As-Built documentation that records how the system was configured.

Assumptions
The following assumptions have been made as to the delivery of this project:

- Customer resources will be available during project.
- Some work may be performed by EDCi remotely and access will be available to access the systems remotely.
- The total estimated labor is merely an estimate and does not represent a fixed fee. The estimated labor in the Project Fees section is our best estimate of what it will take to complete this Statement of Work. This estimate may change depending on any unknowns encountered and any labor up to 10% of the estimate will be billed without formal notification.
- All IP addressing, server names, and naming convention will be supplied by the customer. Naming may be advised by EDCi.
- EDCi will have administrative access, physical access and ample working space to the physical equipment.
- It is assumed all applications will work properly within the Citrix environment and are compatible.
- All customer tasks will be completed before an EDCi engineer engages on the project.
- The customer test plan is intended to provide the base starting platform of recommended user testing and allows the customer to add additional "specific" items as appropriate. It is assumed the customer will own the process, modifications and completion of the user testing. The results should be communicated to EDCi to determine if the user experience can be improved.
- EDCi has included estimated labor efforts for the following items. This estimate may change depending on the items encountered:
  - 12 hours effort troubleshooting 3rd party applications
  - 4 hours production cutover assistance if included within the scope of this project
  - 8 hours system knowledge transfer on Move/Add/Change's
- Further assistance can be provided through EDCi's support offerings, which are outside the scope of this Statement of Work.
- The components will be configured in parallel to the production environment and may not include "Production Cutover" unless specifically included within the scope of this project.
### Project Fees and Schedule

#### Project Fees

**XenApp 6.5 to 7.15 LTSR**

<table>
<thead>
<tr>
<th>Item</th>
<th>Qty</th>
<th>Unit</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Citrix Installation Labor</td>
<td>1</td>
<td>$27,380.00</td>
<td>$27,380.00</td>
</tr>
</tbody>
</table>

**Total** $27,380.00

#### Project Investment

$27,380.00 + Tax & Freight

The project price includes estimated labor and project management for complete installation and testing of the above listed materials during normal working hours (8am - 5pm Monday through Friday).

The total estimated labor is merely an estimate and does not represent a fixed fee. The estimated labor in the Project Fees section is our best estimate of what it will take to complete of this Statement of Work. This estimate may change depending on any unknowns encountered and any labor up to 10% of the estimate will be billed without formal notification.

Travel time, expenses and other out-of-pocket expenses are not included in the fee estimate provided and will be billed as incurred Terms Net 10 days.
Schedule

Expenses

Travel time, expenses and other out-of-pocket expenses are not included in the fee estimate provided and will be billed as incurred. Terms Net 10 days.

Delivery Schedule

The actual project start and end dates depend on your timely approval of this Scope of Work, final agreed-upon project outcomes and scope, and your availability and participation. The process to schedule services will commence as soon as we receive a signed copy of this Scope of Work.

Personnel will be assigned as available when the project is approved. Depending on availability at the time of project approval, EDCi will assign specific resources to the project.

Client Initiated Testing and Troubleshooting

Clients may request EDCi to test or troubleshoot a feature of the platform that is not meeting expectations or not functioning as anticipated. If EDCi determines that the platform is functioning within normal and expected means, all hours accrued as part of this activity will be invoiced on a time and materials basis.

Confidentiality

We acknowledge that Rock Co Information Technology data, along with the documentation of the business requirements, might disclose some of your company's confidential information. We will use the confidential information only to complete the solution outlined in this document. We will restrict access to confidential information to those EDCi personnel who are providing services under this project.

Conclusion

We invite Rock Co Information Technology to work with a partner who shares your mutual desire for excellence, solid design and long-term results.

We have also completed similar solutions for other clients in the past and feel our past expertise and understanding will serve to augment the success of this effort. We view your satisfaction as paramount to measuring success and will ensure this system exceeds your expectations of quality.

We look forward to speaking with you about this opportunity and will contact you shortly to answer any questions you might have. If you have any questions regarding any of these arrangements, please feel free to contact us.

We appreciate the opportunity to be of assistance to you, and assure you that this project will be given our close attention.
Terms and Conditions

Labor only Terms for Blocks. All blocks of labor purchases will be invoiced once the order is received from the client.

Terms are Net 10 days.

Pricing is effective for 30 days.

All equipment and component and labor purchases will be invoiced once the equipment ships from EDCi. Terms are Net 10 days. Partial shipments will result in multiple invoices.

If the equipment cannot be shipped due to a project delay which is caused by circumstances out of the control of EDCi, including, but not limited to, customer error, circuit delays, manufacturing delays, etc. EDCi will ship and invoice items that have been received after a period of not less than 14 business days from receipt of the equipment at EDCi.

If project timeline is delayed, additional project management and/or project coordination hours will be accrued and invoiced.

Pricing is effective for 30 days.

Change Orders

If the labor effort to complete this Statement of Work is more than estimated, (10% or more), or if the customer requests a change to the scope and time involved to complete the Statement of Work, EDCi will communicate this with the customer and receive approval on a Change Order form before continuing.

Other additions or deletions to this Statement of Work may be made only pursuant to a written "Change Order" signed by both EDCi and the designated authorized representative of the Purchaser or his designee. All terms of duly signed Change Orders are deemed as part of this Statement of Work.
Return Policy Criteria

Product Returns or Exchanges

Requests for product returns or exchanges will be honored as long as the products meet the following criteria:

- Products must be returned in new, sellable condition within 30 days of shipment date.
- Products must be returned in their original, unopened, unmarred packaging, including all accessories, manuals, and documentation.
- **Important:** Products or packaging for products that have writing or customer-supplied labels affixed to them will be refused.

EDCi will refuse any products that do not meet these criteria.

Restock Fees Schedule

All non-defective returns for credit meeting the Return Policy Criteria above will be subject to the following Restocking Fee Schedule:

<table>
<thead>
<tr>
<th>Timeframe that the request is received</th>
<th>Restock Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Within 30 days from receipt of materials</td>
<td>30%</td>
</tr>
<tr>
<td>Day 31 and beyond</td>
<td>No Returns</td>
</tr>
</tbody>
</table>

**NOTE:** All shipping charges are non-refundable.
RMA Process

All items being returned (regardless of reason) to EDCi must follow these steps:

1. Contact your sales representative to ensure you are eligible for a return and to obtain return materials authorization (RMA) number. No returns can be accepted without an approved RMA number. **Important:** Any items that are received without an RMA number will be refused and returned by our receiving team.

2. Please include your name, company name, date of purchase, PO# or order number if possible, and product serial number(s), model name or number of the hardware in need of return, and a reason stating why you need to return the unit(s) in your correspondence. **Important:** Requests for returns of defective products need to start with a call to our Technical Services Coordinator to diagnose the issue.

3. If your product RMA requires a replacement product:
   Once the RMA # is approved and issued, EDCi will process an order for a replacement product, generate a placeholder RMA invoice, and will ship the replacement to the address identified in the RMA request.

4. EDCi reserves the right to change or amend the above stated policy at any time.

5. EDCi reserves the right to limit or deny any returns for sales credits that are deemed non-compliant with the above stated policy.

6. EDCi is not liable for any lost or stolen property.

Warranty

EDCi warrants all of its labor and its materials for defects of material significance for 30 days from the date of substantial completion of the installation of the Equipment. EDCi makes no other express or implied warranties. EDCi's entire obligation and liability under this Statement of Work shall be exclusively limited to repair, replacement, or substitution of defective labor and materials. EDCi shall not under any circumstances be liable to Client or any other party for any incidental, consequential, special or other damages. THIS WARRANTY IS IN LIEU OF ALL OTHER WARRANTIES WRITTEN, ORAL, EXPRESSED OR IMPLIED, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

As a complete exception to the warranty language above, EDCi does not warrant the repair, replacement, of installation, of defective electronic equipment, components, parts or software manufactured or programmed by third parties despite any provisions above to the contrary. The warranties of all third party manufacturers for electronic equipment, components or parts integrated into the project are hereby assigned to the Client such that said warranties may be enforced either in the name of EDCi or in the name of the Client for the Client's benefit. EDCi reserves the right to enforce any such warranties so assigned to the Client should the Client elect not to enforce warranties pursuant to this assignment.

Lien Rights

As required by Wisconsin Construction Lien Law, EDCi hereby notifies Client that EDCi may have lien rights against Client's real estate for unpaid labor and materials furnished upon owner's real estate. Client further grants a security interest to EDCi in all equipment delivered to Client to secure bona fide payments of the purchase price due under this Statement of Work.
Approval to Proceed Together

If the preceding terms are acceptable to you and the services outlined are in accordance with your needs, please sign this Statement of Work and return to the designated EDCi contact on the cover of this Statement of Work.

We appreciate the opportunity to work with you.

Company Name: Rock Co Information Technology

By: ____________________________
Title: __________________________
Date: __________________________

Company Name: EDCi

By: ____________________________
Title: __________________________
Date: __________________________
RESOLUTION NO. 19-5B-250

RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

INITIATED BY
Finance Committee

SUBMITTED BY
Finance Committee

DRAFTED BY
Diana Arneson, Asst. to IT Dir

DATE DRAFTED
May 7, 2019

AUTHORIZING PAYMENT FOR BAKER TILLY SECURITY AUDIT

WHEREAS, the Rock County Information Technology Department recognizes that Cybersecurity is a growing priority; and,

WHEREAS, Rock County possesses substantial high-value data that needs to be protected from Cybersecurity threats; and,

WHEREAS, Public Sector organizations like Rock County must regularly assess and strengthen their cybersecurity infrastructure and processes; and,

WHEREAS, Baker Tilly has familiarity with the County's IT operations as part of the County's financial audit and the IT assessment completed in November of 2018; and,

WHEREAS, the 2019 Budget did designate funds for a security audit.

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this ___ day of __________, 2019 does hereby authorize a contract with Baker Tilly, not to exceed $40,500.

Respectfully submitted,

FINANCE COMMITTEE

Mary Mawhinney, Chair
Mary Beaver, Vice Chair
Brent Fox
J. Russell Podzilni
Bob Yeomans

FISCAL NOTE:
Funds were included in IT's budget for this audit.

Sherry Oja
Finance Director

LEGAL NOTE:
The County Board is authorized to take this action pursuant to secs. 59.01 and 59.51, Wis. Stats. Professional services are not subject to bidding requirements of § 59.52(29), Stats.

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:
Recommended.

Josh Smith
County Administrator
Executive Summary

This resolution authorizes a contract with Baker Tilly to conduct an assessment of the Cybersecurity operations of Rock County's Information Technology Department.

The intent of this Cybersecurity audit is to comprehensively examine the County's current cybersecurity activities in order to advise on how to improve processes and controls to safeguard the County's data. Page 1 of the attached proposal outlines specific areas that will be considered through an industry-standard approach. Baker Tilly will assess the current IT staff's skillsets, capabilities and capacities; existing cybersecurity processes; Rock County's IT infrastructure and physical security; as well as presently available cybersecurity tools and technical resources. These activities will yield deliverables including a risk profile and a prioritized cyber risk report which will be used to decrease the County's cybersecurity risk as well as expand available toolsets and knowledge base for use in the future.

Additionally, Baker Tilly is offering a Cybersecurity Program Design service which will identify and prioritize cyber risks as well as design governance, controls, and regulatory frameworks. The deliverables will include a 12 month roadmap for cybersecurity program enhancements to assist the County moving forward.

Baker Tilly is uniquely qualified to complete this security audit. As the County's financial auditing firm, they have familiarity with the County's organizational structure and IT controls. Additionally, Baker Tilly completed an IT assessment in November of 2018 which will serve to facilitate their research.

The resolution authorizes a contract up to $40,500 for this purpose. The 2019 budget provides funding for this expense.
April 26, 2019

Mr. James Sandvig
Director, Information Technology Department
Rock County
3530 N County Rd F
PO Box 920
Janesville, WI 53547-0920

Mr. Sandvig,

Cybersecurity threats are escalating for public sector organizations. As reliance on technology and networks rises, hackers discover new opportunities to steal, corrupt and leak data, creating substantial financial, reputational and operational risks for businesses. Counties must regularly assess and enhance their cybersecurity activities to account for the always-evolving cyber risk environment.

Within this context, Rock County (the County) is extremely wise to seek a cybersecurity assessment, and Baker Tilly Virchow Krause, LLP (Baker Tilly) is pleased to submit our cybersecurity assessment tailored proposal to meet these needs. We appreciate this opportunity to expand our relationship with the County, one of our valued clients, and believe our rich experience, industry specialization and holistic approach uniquely qualify us to perform your cybersecurity assessment.

As you make your decision, remember the benefits unique to this service and our firm:

- Breadth of experience in performing cybersecurity assessments to create detailed, accurate representations of organizations' cybersecurity postures
- Ability to identify and prioritize cyber risks and control gaps using insights gained from more than 800 cybersecurity and IT engagements and our extensive industry involvement
- First-hand experience serving the County since 1993 with audit, tax and advisory services
- Considerable industry experience from serving more than 1,000 public sector clients, including hundreds of counties and municipalities
- A flexible approach that provides substantial value

We look forward to the opportunity to discuss our cybersecurity assessment with you. Please do not hesitate to call or email us as you review our proposal.

Regards,

David Ross, MBA, MEng, CIPP/E, Principal 301 792 2073 | david.ross@bakertilly.com

Barry Esch, Director, Business Development 703 923 8305 | barry.esch@bakertilly.com
1. Approach and Methodology

Cybersecurity is a growing priority throughout the country, specifically concerning attack prevention. The County possesses substantial high-value data, which makes you a prime target for cyberattacks. Furthermore, your constituent, vendor and internal interactions, if unmediated by proper controls, can easily create vulnerabilities for bad actors to exploit in a breach.

Baker Tilly's robust, holistic cybersecurity assessment approach will help to strengthen the County's understanding of your cybersecurity posture and risk exposure and provide viable recommendations to remediate gaps. Our goal will be to comprehensively examine your cybersecurity activities and advise the critical security control and process improvements needed to safeguard data from accidental loss, unauthorized access, use, alteration and disclosure.

To provide the maximum value and insight for the County, our proposed cybersecurity assessment methodology (detailed on the next page) covers five main categories.

- **People** - Assessment of your current staff's skills, capability and capacity with regards to cybersecurity activities.
- **Process** - Assessment of your policies, procedures and processes as it relates to cybersecurity
- **Infrastructure** - Assessment of your IT infrastructure from a cybersecurity perspective
- **Tools** - Assessment of your cybersecurity tools and technical resources
- **Physical** - A high level assessment of your physical security in protection your IT infrastructure

Optionally, we have also included three additional services that are natural extension of the assessment work.

- **Cybersecurity Program Design** (Optional)
- **Vulnerability Assessment** (Optional) - Scanning your infrastructure (internally and/or externally) for known vulnerabilities
- **Social Engineering** (Optional)

The process starts with a planning phase, where we will develop a project plan and establish expectations, and conclude with reporting, where we will share our findings and recommendation in an easy-to-understand, digestible format.

Benefits of our cybersecurity assessments:

- Builds on industry-recognized frameworks
- Reflects leading practices and lessons learned from comparable cybersecurity engagements
- Blends multiple project components, giving the County comprehensive analysis
- Provides increased flexibility and responsiveness
- Collaborative from start to finish, ensuring your needs and priorities are addressed
- Develops visibility into current security limitations and metric-driven improvement
- Clarifies current-state cybersecurity
- Produces actionable steps to improve security posture
1.1 Cybersecurity Assessment Methodology

**Purpose:** Identify and prioritize cyber risks considering management's assessment of the context of the County's control environment, existing policies and potential gaps. Facilitate accountability and develop actionable recommendations.

### Activities
- Request and review initial documentation, including policies, diagrams, and other information that will help Baker Tilly evaluate the risks within the audited areas, such as:
  - Policies, procedures and standards
  - Vendor and third-party management
  - Change management (e.g., patches, operating system and firmware upgrades, configuration changes)
  - Access management (e.g., account management, password management, elevated privileges, account access review, access monitoring)
- Work with management to understand and discuss the County's:
  - Strategic goals
  - Major initiatives and challenges
  - Recent and planned changes in processes and systems
  - Views of major risks facing the County, and how they may have evolved since the development of the current cyber risk framework
- Conduct interviews and collect data
  - Interview stakeholders regarding the risk environment, what has historically been done, past challenges, and opportunities
  - Conduct interviews and/or walkthroughs with key data and process owners
- Review existing policies, processes and practices against defined requirements
- Identify key risk areas for management focus
- Develop recommendations for risk mitigation strategies of key cyber risk areas
- Identify areas for improvement relative to IT and cybersecurity

### Deliverables
- Interview meeting agendas
- Inventory of resources, projects, programs, documentation, and capabilities
- Risk profile
- Gap analysis
- Summary of draft observations
- Prioritized cyber risk listing
- One initial draft report
- One final report

Proprietary and Confidential 2 of 11
12.B.2.(8)

Approach

1.2 Optional Additional Projects

Optional: Cybersecurity Program Design

<table>
<thead>
<tr>
<th>Cybersecurity Program Design</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Purpose:</strong> Identify and prioritize cyber risks considering management's assessment of the context of the County's control environment, existing policies, and potential gaps in accountability, and develop actionable recommendations.</td>
</tr>
<tr>
<td><strong>Activities</strong></td>
</tr>
<tr>
<td>- Recommend remediation activities associated with identified gaps</td>
</tr>
<tr>
<td>- Design cybersecurity governance framework</td>
</tr>
<tr>
<td>- Design cybersecurity controls framework</td>
</tr>
<tr>
<td>- Design cybersecurity awareness/training program</td>
</tr>
<tr>
<td>- Define applicable regulatory frameworks</td>
</tr>
</tbody>
</table>

Optional: Vulnerability Assessment

<table>
<thead>
<tr>
<th>Vulnerability Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Purpose:</strong> Identify and assess the County’s network-accessible vulnerabilities.</td>
</tr>
<tr>
<td><strong>Activities</strong></td>
</tr>
<tr>
<td>- Work with the County to identify in scope hosts</td>
</tr>
<tr>
<td>- Perform vulnerability scanning procedures using our scanning appliance on identified hosts as well as perform a vulnerability check on the Wireless Guest network, using the following methods:</td>
</tr>
<tr>
<td>o The Credentialed Method for internal vulnerability scanning:</td>
</tr>
<tr>
<td>o Log into a target computer as a system administrator and issue commands to catalog the computer system's configuration, software inventory, and running services</td>
</tr>
<tr>
<td>o Search for known vulnerabilities, including missing software maintenance and security patches as well as unsupported software and weak security settings</td>
</tr>
<tr>
<td>o Confirm and document identified vulnerabilities</td>
</tr>
<tr>
<td>o The Non-Credentialed Method for external scanning and any internal host for which login credentials are unavailable:</td>
</tr>
<tr>
<td>o Run a network port scan to identify &quot;live computers,&quot; their listening network service ports</td>
</tr>
</tbody>
</table>

"Having Baker Tilly come in... gave me the tools and resources I needed to be successful."

— Director of Information Technology
Approach

Vulnerability Assessment

- and the associated service programs
- \( \text{Discover vulnerabilities through network probing} \)
- \( \text{Search for known vulnerabilities associated with the identified network service ports and programs} \)

Optional: Social Engineering and Phishing

Social Engineering and Phishing

**Purpose:** Attempt to steal user credentials or gain user system access using social engineering attacks including phishing and phone pretexting.

**Activities**

- Collaborate with County management to scope the target employee list for social engineering emails and phone pretexting
- Conduct social engineering campaigns, which will consist of sending phishing emails and conducting phone pre-texting calls
- Collect response metrics and compile results to be presented and shared with management
- Provide a training module for identified users who do not pass the social engineering tests

**Deliverables**

- Phishing/phone pretexting response metrics and results

"Your team was fantastic to work with again this year. I compliment the amazing team you have, and am looking forward to next year!"

— Chief Technology Risk Officer
2. Pricing

We prepared the fee in Table 2 for the County based on the needs and objectives you have shared with us and our experience conducting similar services in the public sector industry. Our fee allows for thorough and insightful advice and services from experienced professionals without unnecessary add-ons or start-up charges. If the County commits to the cybersecurity assessment and program design up front, Baker Tilly will offer a $2,500 dollar discount.

<table>
<thead>
<tr>
<th>Project</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cybersecurity assessment</td>
<td>$30,000</td>
</tr>
<tr>
<td>Optional Cybersecurity Program Design</td>
<td>$10,500</td>
</tr>
<tr>
<td>Optional Vulnerability assessment</td>
<td>TBD</td>
</tr>
<tr>
<td>Optional Social engineering and phishing</td>
<td>TBD</td>
</tr>
</tbody>
</table>

Out-of-pocket expenses reasonably and necessarily incurred in the performance of this service will be charged in addition to the fees stated above and will be billed at the actual amounts incurred.

Our services will be performed in accordance with the Consulting Standards promulgated by the American Institute of Certified Public Accountants (AICPA). Our procedures will be performed solely to assist the organization in assessing your cybersecurity posture. Such procedures do not constitute an audit conducted in accordance with U.S. Generally Accepted Auditing Standards. Accordingly, we will not express an opinion on the results of our work.

2.1 Assumptions

We based our estimate on the assumptions detailed below. Should any of these change during the engagement, we will bring the matter to the County’s attention immediately and prepare a change order detailing the new requirements and corresponding budget impact. We will not undertake additional work without the County’s written approval.

Assumptions include:

- The County will provide adequate support, preparedness, and cooperation from management.
- There will be no significant changes in scope.
- Engagement can be serviced from the United States – should travel be necessary/desired, we will obtain the County’s approval in advance and will bill the County for such travel, as incurred.

"Thank you again for going beyond the call of duty and providing us with excellent value for the investment we made."

– CEO at a Client Organization
Pricing

- All interviews, data provided and deliverables will be in English
- The County will provide resources to assist with coordination activities such as scheduling project activities, coordination of information gathering, and securing project team space
- The County will provide timely access to needed personnel, systems and processes
- The County will provide timely feedback on prioritization of activities
- The County will provide timely feedback on deliverables

For all services listed above, project management tasks and on-going collaboration will ensure that you are informed throughout the process and there are no surprises in our final deliverables.
3. Proposed Team

Efficient project performance requires a team with experienced personnel who are knowledgeable and skilled to meet your needs from day one, as well as capable of responding to your needs promptly and efficiently. With Baker Tilly, the County will once again have such a team.

The team consists of public sector and consulting professionals offering a depth of public sector experience, a breadth of cybersecurity skills as well as a deep understanding of public sector organizations' cybersecurity risks and threats.

The following are the key personnel on your engagement team:

- **David Ross**, a principal at Baker Tilly and the cybersecurity growth leader for our risk, internal audit and cybersecurity practice, who leads our VCISO efforts for clients across industries. David will serve as your engagement partner.

- **Heather Acker**, a partner of Baker Tilly's Madison office, who specializes in public sector clients and leads our work and manages our overall relationship with the County – as the local client partner.

- **Ken Zolinov**, a senior manager at Baker Tilly, with more than 23 years of cybersecurity and technology experience. Ken will serve as the engagement director.

- **Alex Islamov**, a manager with more than 12 years of leadership and management expertise focusing in the areas of IT, security, governance, risk management and IT audit programs. Alex will be your engagement manager.

Our many years of public sector experience coupled with our current consulting work allow our team to offer a unique perspective on the prominent risks and cyber threats facing public sector organizations. **Appendix I** includes detailed resumes for the proposed team members.
4. About Baker Tilly

Baker Tilly was founded in 1931 with one central objective: use our industry specialization to help our clients improve their operations. With teams that include financial, business and industry-specific specialization, our clients work with knowledgeable professionals who understand their organizations and can create innovative solutions to help them overcome their unique challenges. Because the County will once again be working with a tailored engagement team, you can continue to expect consistent, efficient and Exceptional Client Service. Figure 4 shows some key facts about us.

Figure 4: Key facts

Baker Tilly's more than 3,600 total staff members, including approximately 350 partners, provide a wide range of services to clients. Beyond our cyber and privacy offerings, we also provide accounting, assurance, consulting and staffing services, among others. For more than 85 years, Baker Tilly has understood that our business demands absolute integrity, a belief in the value of trusted relationships and a willingness to collaborate with every client. We will strive to continue to deepen and enhance our relationship with the County as we seek to remain your Valued Business Advisor.

4.1 Public sector Specialization

Baker Tilly is one of only a few audit firms with a dedicated public sector practice. The depth of our knowledge and experience, together with our commitment to the public sector, will translate into valuable insights into issues unique to the City. Our public sector practice group, which was formalized nearly 50 years ago, consists of a team of more than 320 professionals dedicated entirely to serve the needs of public sector clients. We recognize the complex nature of this industry and organize our partners and staff into various sub-specialty practice areas.

Nationwide, our public sector practice currently works with more than 1,600 such clients, including more than 300 municipalities, as well as state agencies, counties, public utilities, transit, school districts and many other government organizations.
About Baker Tilly

We have a substantial history of public sector audit and advisory services. In addition, we offer valuable assistance in areas such as compliance audits, feasibility studies, operational reviews, consolidation and shared services consulting, information technology (IT) consulting and other advisory services.

Our approach to industry specialization ensures that the City of Minneapolis will continue to work with a team that is truly dedicated to serve governmental clients, which leads to an exceptional client service experience.

**Umbaugh/Springsted Combination**

You may have heard our firm recently announced some very exciting news. Springsted Inc. (Springsted) and H.J. Umbaugh and Associates, Certified Public Accountants, LLP (Umbaugh) are joining Baker Tilly in a three-way combination. This strategic combination creates a premiere municipal advisory practice, particularly in Minnesota, and provides the City access to a range of municipal advisory specialists.

The full combination will be effective in the first half of 2019 (Springsted has already combined with Baker Tilly). After a transition period, the combined firm will be Baker Tilly.

Of note, the County has been among our valued clients since 1993 and we are excited about the possibility to expand our relationship with you. You'll see some of the same faces who have previously served - and currently serve - you return to your cybersecurity assessment, assuring you of a team that understands your unique environment.

## 4.2 Experience in Delivering Cybersecurity Services

Decades of serving public sector organizations with risk and cybersecurity services have taught us how to manage known vulnerabilities and proactively identify new ones. Using this experience, we can provide the County with an accurate and objective view of your County to help you protect data from theft, compromise and destruction.

Baker Tilly has assisted more than 800 client organizations of all sizes with cybersecurity and IT risk-related work, who have leveraged our work to:

- Gain an enterprise perspective into the opportunities and risks associated with their cybersecurity postures
- Simulate cyber-attacks leveraging social engineering, phishing and penetration testing
- Compare their cybersecurity practices and controls against leading practices frameworks
- Better position cybersecurity initiatives, processes and systems to add value to organizations and their constituents
- Enhance governance and facilitate critical discussions about cybersecurity risk management with senior management and boards
• Gain security program leadership from senior cyber personnel
• Design impactful, strategically aligned cyber programs
• Understand root causes of control deficiencies and implications of various remediation plans, as well as develop remediation roadmaps
• Identify and prioritize the cybersecurity risks and risk management strategies relevant to their business and technical environments
• Develop security education and awareness programs
• Conduct collaborative tabletop exercises and crisis exercises to raise response capabilities and awareness
• Address existing and emerging security and privacy regulations
• Develop dashboards to measure key performance metrics and identify trends

When providing cybersecurity assessments for the County, you can expect us to carefully consider your culture, complexity and strategic growth goals, assuring you of cybersecurity services and strategies that reflect your unique environment and risks. Our team members perform more than 80,000 hours of cybersecurity-related assessments annually, translating into a deep understanding of IT as a business enabler. The following are two brief summaries of clients receiving cybersecurity assessment services from Baker Tilly:

• **Cybersecurity assessment:** An organization with numerous systems in multiple physical locations required an independent technical cybersecurity assessment to determine vulnerabilities in IT architecture and system configurations. Baker Tilly analyzed the IT security configurations of more than 400 systems using automated vulnerability scanning and penetration testing tools and detailed manual configuration reviews. In addition, Baker Tilly reviewed the supporting IT security processes. We identified numerous critical systems with poor security configurations and control gaps. Baker Tilly developed prioritized practical improvements for the County's security architecture, security configurations and corresponding IT processes.

• **Social engineering/phishing test:** A County sought help to assess the strength of the human element in its security. Baker Tilly performed a phishing test, creating a fictitious website masquerading as a wellness program and sending an email to targeted employees, attempting to download documents and capture their network credentials. We analyzed phishing results to identify trends, key risks and common themes and, finally, drafted a report outlining our observations as proposed mitigation strategies.

80,000

hours of cybersecurity and technology related assessments annually
Our Cybersecurity Services

Advisory
- Strategic cyber advisory
- Cyber policy & program development
- Cyber risk assessment
- Breach response, preparedness planning
- Cybersecurity compliance readiness
- Pre-loss risk assessment
- Cyber risk insurance analysis
- Crisis claims consulting
- Business interruption risk advisory

Operations
- Virtual CISO/CTO
- Cybersecurity program design and implementation
- Security operations center services
- Integrated security testing services
- Penetration testing and vulnerability scanning
- Cyber hunting service
- SEIM content/tuning service
- Incident response service

Education
- Security education and awareness programs
- Risk security education
- Cyber hygiene
- Security training
- SEIM forensics
- Simulation, exercises and war games
- Penetration testing
- Cyber hunting
- SEIM content/tuning
- Incident response

Governance
- IT project risk review
- IT risk assessment
- IT effectiveness assessment
- Business continuity planning, management and testing
- Disaster recovery programs
- Supplier risk interruption (external risk)
- Compliance program assessments

Assurance
- HITRUST validation
- IT audit
- Cybersecurity
- IT risk assessment
- IT effectiveness assessment
- Business continuity planning, management and testing
- Disaster recovery programs
- Supplier risk interruption (external risk)
- Compliance program assessments

Proprietary and Confidential
Appendix I: Resumes

David Ross, MBA, MEng, CIPPE

David is a principal and our firm’s cybersecurity and privacy practice leader.

Baker Tilly Virchow Krause, LLP
Principal
8219 Leesburg Pike
Suite 800
Tysons, VA 22182
United States

T +1 (703) 923 8282
M +1 (301) 792 2073
david.ross@bakertilly.com
bakertilly.com

Education
Georgetown University – McDonough School of Business (Washington, D.C.)
Master of Business Administration

ESADE Business School (Barcelona, Spain)
Master of Business Administration

University of Maryland – A. James Clark School of Engineering (College Park, Maryland)
Master of Mechanical Engineering

Carnegie Mellon University (Pittsburgh, Pennsylvania)
Bachelor of Science, Chemistry and Industrial Management

David is a principal, leader for our privacy practice as well as cybersecurity advisory leader, who has been with Baker Tilly since 2017. David joined us in 2017 from Deloitte’s cyber risk practice, where he provided strategic insight, service design, business development and engagement leadership. Previously, David was general manager of General Dynamics Commercial Cyber Services, where he was not only responsible for the design of the business, but also the launch and management of the new commercial organization. As a recognized thought leader and published author, David frequently speaks on cybersecurity strategy, innovation, business strategy, building high performing sales strategies, and critical problem solving for corporations.

Specific experience
- Serves as partner-in-charge for Virtual Chief Information Officer (VCISO) and Virtual Data Protection Officer (VDPO) engagements
- Serves as partner-in-charge on General Data Protection Regulation (GDPR) and other privacy readiness assessment services
- Provides leadership, management and strategic direction for the firm’s cybersecurity practice
- Provides leadership in business development, commercialization, service design and growth strategies across RIAC practice
- Proven innovation and business transformation leader in the cybersecurity, pharmaceutical, healthcare and manufacturing sectors
- Advises clients and boards on the strategic aspects of cybersecurity
- Serial entrepreneur

Industry involvement
- National Association of Corporate Directors – Board Leadership Fellow (2016 – Present)
- American College of Corporate Directors (2016 – Present)
- Intelligence and National Security Alliance – Financial Threats Task Force (2015 – Present)
- Georgetown University McDonough School of Business – Adjunct Professor (2012 – Present)
Industry involvement, cont.

- Latin American Board – Lecturer (2012 – 2016)
- Johns Hopkins Cary School of Business – Adjunct Professor (2012 – 2014)
- The Nantucket Project – Fellow (2012)
- Georgetown University – Entrepreneur in Residence (2011 – 2013)
- International Association of Privacy Professionals – Member (2017-present)

Community involvement

- Propagenix – Director Emeritus (2014 – Present)
- Nantucket Looms – Advisory Board Member (2012-2017)
- M3 Information – Advisory Board Member (2012 – 2013)
- Diversinet Corporation (DVNTF) – Advisory Board Member (2012)

Publications and patents

- Applying Visual Frameworks to Optimize Innovation Strategy; Global Science and Technology Forum Journal on Business Review Volume 2, No. 2 (ISSN: 2010-4804)
- The Path/Goal Problem – A Visual Framework for Visualizing Innovation; 2nd Annual International Conference of Innovation and Entrepreneurship conferences proceedings (ISSN: 2251:2039)
- Continuously Compensating Bicycle Suspension System; United States Patent 5,921,572

Thought leadership

- Contributor for Bisnow’s article: Why You Should Hire a (Virtual) CISO – May 2018
Heather Acker, Public Sector Professional Practice Leader, has been with Baker Tilly since 1997

She is responsible for the quality oversight of the public sector assurance practice of the firm. Throughout her career, she has specialized in serving the needs of state and local government clients. Heather has experience with numerous types of financial and compliance audits including single audits. She has also helped many governments with consultation and implementation of various Governmental Accounting Standards Board (GASB) pronouncements.

Specific experience

- Leader in Baker Tilly’s Professional Practice Group
- Partner of the financial audits of numerous municipalities and counties
- Partner of single audits in accordance with the Uniform Guidance
- Provides technical assistance to local governments in preparing Comprehensive Annual Financial Reports that receive the GFOA certificate for excellence
- Provides Tax Incremental Financing (TIF), Business Improvement District (BID), and Special Service Area (SSA) auditing, reporting and consulting services
- Presents audit reports to local government boards and committees
- Provides GASB strategic planning and implementation services to clients
- Provides guidance on accounting policies and procedures to improve the operation of the accounting function and strengthen internal controls
- Provides a variety of accounting and budgeting assistance to municipalities
- Oversees the Baker Tilly Public Sector Assurance Committee
- Leads the Baker Tilly Single Audit Committee
- Oversees firmwide public sector and single audit training and audit methodology updates
- Performs peer reviews
- Licensed CPA in Illinois and Wisconsin
Industry involvement

- American Institute of Certified Public Accountants (AICPA)
- Chair of the AICPA State and Local Government Expert Panel (2016-present)
- AICPA Government Audit Quality Center (GAQC) Executive Committee (2012–2015)
- AICPA Peer Review oversight program
- GASB Tribal Government Accounting Workshop Group (TGAWG)
- Government Finance Officers Association (GFOA)
- GFOA Special Report Review Committee
- Wisconsin Institute of Certified Public Accountants (WICPA)
- Wisconsin Government Finance Officers Association (WGFOA)
- Illinois Government Finance Officers Association (IGFOA)
- Speaks at national and regional industry conferences
- Authors published articles on municipal accounting issues
- Recognized contributor to:
  - AICPA "State and Local Government Audit Guide"
  - AICPA "Government Auditing Standards and Single Audit Guide"
  - AICPA "State and Local Government Audit Risk Alert"
  - AICPA "Government Auditing Standards and Single Audit Risk Alert"
  - AICPA "State and Local Governments Illustrative Financial Statements"
Kenneth Zoline, CISSP

Ken is a senior manager with our cybersecurity and IT risk consulting practice.

Ken has 23 years of advisory experience in security and networking, four years of director-level experience developing and managing an information security and risk management program for SPSS Inc. (acquired by IBM) and four years of security operations management experience working for IBM global technology services. Additionally, Ken has taught college-level cybersecurity courses.

Specific experience

- Performs cybersecurity testing: network, host and application vulnerability scanning, integrated security testing, vulnerability assessments, penetration testing, and cyber-attack simulations
- Performs control assessments (gap, maturity and compliance) for following frameworks and standards: CSC, FFIEC, ISO 27002, HIPAA Security Rule, NIST CSF, NIST SP800-53 and PCI DSS
- Performs IT and cybersecurity focused risk assessments
- Performs threat modeling and threat assessments
- Performs vulnerability management assessments
- Develops and improves clients' cybersecurity policies, standards, procedures, business processes and controls
- Develops security programs
- Provides security consultation to client executive management, committees and board of directors
- Regularly leads teams delivering a broad range of cybersecurity-related consulting services

Industry involvement

- The International Information Systems Security Certification Consortium ((ISC)²)
- Information System Security Association (ISSA)
- Infragard – Chicago
- Institute of Internal Auditors (IIA)
- Information Systems Audit and Control Association (ISACA) (presenter)
- Healthcare Financial Management Association (HFMA) (presenter)
- Cloud Security Alliance (CSA) (past contributor)
Alex Islamov, CISA, CIPT

Alex is an experienced manager within the risk, internal audit and cybersecurity practice.

Baker Tilly Virchow Krause, LLP
Experienced Manager
205 North Michigan Avenue
Chicago, IL 60601
United States

T +1 (312) 622 8315
alex.isalmov@bakertilly.com
bakertilly.com

Languages
English

Education
University of Nebraska–Lincoln
Bachelor of Business Administration (Accounting & Management Information Systems)

University of Nebraska–Lincoln
Masters of Professional Accountancy

Alex has been with the firm since 2018. He has more than 12 years of leadership and management expertise within international firm professional services and industries ranging from healthcare, telecommunications, manufacturing, oil and gas, and retail. Alex is effective at driving IT, security, governance, risk management and internal audit programs.

Specific experience

- Performs quality assurance reviews, providing advice on implementation of leading practices and assessing direct compliance with relevant regulations
- Plans, performs and executes SOC1, SOC2 and SOC2+ report projects across a wide variety of industries and frameworks (e.g., NIST, ISO 27001 and HITRUST)
- Provides assistance in identifying, documenting and testing internal control in relation to SOX compliance from both a financial and IT perspective
- Performs consulting services to plan, develop, execute and improve internal control procedures for suitability of design and operational effectiveness
- Performs organization-wide risk assessments with a focus on qualitative and quantitative evaluation of risk associated with critical application systems and infrastructure components supporting key business processes, technology and upcoming significant initiatives
- Performs information privacy and security reviews, focusing on current information privacy and security policies, procedures and practices, and the monitoring mechanisms in place to identify new information privacy and security laws and regulations

Industry involvement

- International Association of Privacy Professionals (IAPP)
- Information Systems Audit and Control Association (ISACA)
  - ISACA Vice President Tulsa Chapter 2009–2010
- Institute of Internal Auditors (IIA)
RESOLUTION

ROCK COUNTY BOARD OF SUPERVISORS

The General Services Committee
INITIATED BY

The General Services Committee
SUBMITTED BY

Brent Sutherland- Director of Facilities Management
DRAFTED BY

May 13, 2019
DATE DRAFTED

Authorizing the Purchase of the Property at 1717 Center Avenue and Amending the 2019 Facilities Management Capital Budget

WHEREAS, part of the facilities masterplan recognized the need for a new Human Services building to replace the existing Human Services building due to the buildings current conditions, and;

WHEREAS, General Services Committee and Facilities Management reviewed several options, locations and costs with the best option being the 1717 Center Ave property, and;

WHEREAS, renovation of the property at 1717 Center Ave allows Rock County an opportunity to renovate more square footage, thus improving department efficiency for less cost than a new, smaller building.

NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this _____ day of __________, 2019 does hereby approve and authorize the purchase of the property at 1717 Center Avenue in the amount of $4,400,000.00, plus closing costs, from 1717 4GK, LLC of Janesville, Wisconsin.

BE IT FURTHER RESOLVED, that the Facilities Management 2019 budget be amended as follows:

<table>
<thead>
<tr>
<th>ACCOUNT/DESCRIPTION</th>
<th>BUDGET 5/1/19</th>
<th>INCREASE/ (DECREASE)</th>
<th>AMENDED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Source of Funds</td>
<td></td>
<td>$4,400,000.00</td>
<td></td>
</tr>
<tr>
<td>18-1857-0000-49109 Notes Issued</td>
<td>0</td>
<td>$4,400,000.00</td>
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<td>Use of Funds:</td>
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<td>18-1857-0000-67200 Capital Improvements</td>
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<td>$4,400,000.00</td>
</tr>
</tbody>
</table>

Respectfully submitted,

GENERAL SERVICES COMMITTEE

Hank Brill, Chair

Jeremy Zajac, Vice Chair

Yuri Rashkin

Tom Brien

Robert Potter

FINANCE COMMITTEE ENDORSEMENT

Reviewed and approved on a vote of __________

Mary Mawhinney, Chair
Authorizing the Purchase of the Property at 1717 Center Avenue and Amending the 2019 Facilities Management Capital Budget
Page 2

FISCAL NOTE:

This resolution authorizes the purchase of the land and building at 1717 Center Avenue. This resolution also amends the 2019 budget to provide appropriation authority for the purchase and a funding source of a future debt issue.

Sherry Oja
Finance Director

LEGAL NOTE:

The County Board is authorized to take this action pursuant to secs. 59.01 and 59.52(6), Wis. Stats. As an amendment to the adopted 2019 County Budget, this Resolution requires a 2/3 vote of the entire membership of the county board pursuant to sec. 65.90(5)(a), Wis. Stats.

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

Josh Smith
County Administrator
Executive Summary

Authorizing the Purchase of the Property at 1717 West Center Avenue and Amending 2019 Facilities Management Capital Budget

The Resolution before you authorizes the purchase of the property located at 1717 West Center Avenue on Janesville's south side for the cost of $4,400,000. This building will be renovated for the occupancy of our Human Services Department. This will allow Humans Services to consolidate their services from several different locations into one facility.

The resolution also amends the 2019 Facilities Management Budget with an increase of $4,400,000 plus closing costs and uses sales tax to fund the purchase.
RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

The General Services Committee
INITIATED BY

The General Services Committee
SUBMITTED BY

Brent Sutherland- Director of
Facilities Management
DRAFTED BY

May 14, 2019
DATE DRAFTED

Retaining Architectural/Engineering Firm for Design Services for the Renovation of
1717 Center Avenue Building and
Amending the 2019 Facilities Management Budget

WHEREAS, design services will be required for the renovation of 1717 Center Avenue property;
and,

WHEREAS, Venture Architects developed the Facilities Masterplan which included the Human
Services building needs; and,

WHEREAS, Venture Architects was one of three firms that was invited to Rock County to be
interviewed and give a presentation to a panel consisting of members of Facilities Management,
Sheriff’s Office, Purchasing, Human Services and Administration; and,

WHEREAS, Venture Architects from Milwaukee, Wisconsin, was the firm unanimously chosen
based on experience conducting similar projects, team experience and bill rates.

NOW, THEREFORE, BE IT RESOLVED by the Rock County Board of Supervisors duly
assembled this ______________ day of ______________, 2019, that a contract for
architectural/engineering services be awarded to Venture/Architects, of Milwaukee, WI, in the
amount of $724,000; and,

BE IT FURTHER RESOLVED, that a $72,000.00 contingency also be approved to cover any
needed changes in the scope of service

BE IT FURTHER RESOLVED, that the Facilities Management 2019 budget be amended as
follows:

<table>
<thead>
<tr>
<th>ACCOUNT/DESCRIPTION</th>
<th>BUDGET 5/1/19</th>
<th>INCREASE/ (DECREASE)</th>
<th>AMENDED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Source of Funds</td>
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<td>Use of Funds:</td>
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<td>$796,000.00</td>
<td>$796,000.00</td>
</tr>
<tr>
<td>Capital Improvements</td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>
Retaining Architectural/Engineering Firm for Design Services for the Renovation of 1717 Center Avenue Building and Amending the 2019 Facilities Management Budget

Page 2

Respectfully submitted,

GENERAL SERVICES COMMITTEE

Hank Brill, Chair

Jeremy Zajac, Vice Chair

Yuri Rashkin

Tom Brien

Robert Potter

FINANCE COMMITTEE ENDORSEMENT

Reviewed and approved on a vote of __

Mary Mawhinney, Chair

FISCAL NOTE:

This resolution authorizes a contract for design services for the building at 1717 Center Ave. This contract will be funded by a future debt issue.

Sherry Oja
Finance Director

LEGAL NOTE:

The County Board is authorized to take this action pursuant to secs. 59.01 and 59.51, Wis. Stats. Professional services are not subject to bidding requirements of sec. 59.52(29), Wis. Stats. As an amendment to the adopted 2019 County Budget, this Resolution requires a 2/3 vote of the entire membership of the County Board pursuant to sec. 65.90(5)(a), Wis. Stats.

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

Josh Smith
County Administrator
Executive Summary

Retaining Architectural/Engineering Firm for Design Services for Renovation of 1717 Center Avenue Building and Amending the 2019 Facilities Management Budget

The resolution before you awards a contract to Venture Architects of Milwaukee, Wisconsin, for design services for renovating the 1717 Center Avenue building for a new Human Services building.

Venture Architects of Milwaukee was chosen unanimously out of three firms interviewed by a team consisting of Human Services, Sheriff's Office, Purchasing, Administration and Facilities Management to complete the Masterplan which included the Human Services operations.

Due to timelines, cost savings and work already performed on the Human Services building needs, it is recommended to continue with Venture Architects for the design services.
RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

INITIATED BY
Finance Committee

SUBMITTED BY
Sherry Oja, Finance Director

DRAFTED BY
May 14, 2019

DATE DRAFTED

INITIAL RESOLUTION AUTHORIZING GENERAL OBLIGATION BONDS AND/OR NOTES IN AN AMOUNT NOT TO EXCEED $5,200,000

WHEREAS, on May 23, 2019 the Rock County Board of Supervisors approved $5,196,000 for the purchase of the land and building at 1717 Center Avenue, Janesville, WI and architectural and engineering costs for renovating the building, and,

WHEREAS, the purchase was approved with the anticipation that it would be funded with the future issuance of debt, and,

WHEREAS, the land and building will have long-term benefits and are most appropriately funded by debt issuance.

NOW, THEREFORE, BE IT RESOLVED, by the Rock County Board of Supervisors duly assembled this ___ day of ___, 2019, that there shall be issued, pursuant to Chapter 67, Wisconsin Statutes, General Obligation Bonds and/or Notes in an amount not to exceed $5,200,000 for the purchase of the land and building at 1717 Center Avenue, Janesville, WI and architectural and engineering costs for renovating the building and initial costs of building renovation.

Respectfully submitted,

FINANCE COMMITTEE

Mary Mawhinney, Chair

Mary Beaver, Vice Chair

Brent Fox

J. Russell Podzilni

Bob Yeomans
FISCAL NOTE:

The purpose of this resolution is to authorize expenditures for the purchase of the land and building at 1717 Center Avenue, Janesville, WI and for architectural and engineering costs for the renovation of the building prior to actual debt issuance. If the County adopts this resolution, the purchase costs and issuance costs will be included in a subsequent resolution that authorizes the issuance of General Obligation Bonds and/or Notes.

1993 Wisconsin Act 16 (the State Budget Bill) established property tax levy rate limits for Wisconsin Counties, beginning with the 1993 payable 1994 levy. The Wisconsin Department of Revenue's Administrative Rules authorize an increase in the debt levy rate under certain conditions, including resolutions authorizing debt issuance that are adopted by affirmative vote of at least three-fourths of the members of the County Board. The County Board may also approve the issuance of debt by adopting a resolution which provides a reasonable expectancy that the new debt will not cause the County to increase its debt levy rate.

Sherry Oja
Finance Director

LEGAL NOTE:

This is only an initial resolution. Pursuant to sec. 67.05(10), Stats., the County Board, before issuing any contemplated long-term debt, must adopt a resolution levying a direct, annual tax sufficient in an amount to pay for the express purpose of paying the interest on such bonds as it falls due, and also to pay and discharge the principal thereof at maturity. That will happen at a later date.

A majority vote is necessary to adopt this resolution. However, a three-fourths vote of the "members-elect" would avoid any potential issue relative to the debt levy rate limit.

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

Josh Smith
County Administrator
RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

RESOLUTION NO. 19-SB-254

AGENDA NO. 12. B. (1)

Supervisor Wesley Davis
INITIATED BY

Public Works Committee &
County Board Staff Committee
SUBMITTED BY

Supervisor Wesley Davis
DRAFTED BY

March 28, 2019
DATE DRAFTED

In Support of Reforming Wisconsin's Eminent Domain Laws

WHEREAS, many private property owners in Rock County feel that their private property rights, under current Wisconsin law, are not adequately protected from encroachment by outside entities, be they local, out of state, or international; and

WHEREAS, eminent domain has historically been used sparingly for ensuring the public good with provable need and just compensation; and

WHEREAS, public good cannot be construed to mean promotion of projects for private gain by outside corporate entities; and

WHEREAS, a clearly defined and demonstrated "public good" might be things demonstrating a true community need like roadways, schools, public parks, public housing, and community centers; and

WHEREAS, any other claimed cause for the taking of private property shall be proven to be for a public good in a court of law by jury trial only; and

WHEREAS, eminent domain shall not be used for any taking which is not for public good; and

WHEREAS, a special legislative commission on the use of eminent domain shall be established to allow the public and local communities to voice their concerns over its use and for the State Legislature to explore revisions and amendments to the current eminent domain requirements in Chapter 32 Wisconsin Statutes.

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this ___ day of _____, 2019, does hereby request that the State of Wisconsin establish a special legislative commission on the use of eminent domain in order to allow the state legislature to pursue a change of law for the protection of its citizens' private property rights.

BE IT FURTHER RESOLVED that a copy of this resolution will be forwarded to the Governor, Wisconsin State Legislature, the Public Service Commissioners and to the Wisconsin Counties Association.

Respectfully submitted:

PUBLIC WORKS COMMITTEE

Betty Jo Bussie, Chair

Rick Richard

Brent Fox, Vice Chair

Jeremy Zide

Brenton Driscoll
COUNTY BOARD STAFF COMMITTEE

J. Russell Podzilni, Chair
Mary MaWhinney, Vice Chair
Richard Bostwick
Henry Brill
Betty Jo Bossie

FISCAL NOTE:
This resolution is advisory only and has no direct fiscal impact on Rock County operations in and by itself.

Sherry Oja
Finance Director

LEGAL NOTE:
Advisory only.

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:
Matter of Policy.

Josh Smith
County Administrator