

ROCK COUNTY, WISCONSIN  
FINANCE DIRECTOR

PURCHASING DIVISION  
FAX (608) 757-5539  
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PROJECT NUMBER #2011-28  
PROJECT NAME INVESTMENT ADVISORY SERVICES  
PROPOSAL DUE DATE NOVEMBER 29, 2010 – 12:00 NOON  
DEPARTMENT FINANCE DIRECTOR

	DANA INVESTMENT ADVISORS BROOKFIELD WI	CUTWATER ASSET MANAGEMENT DENVER CO	PFM ASSET MANAGEMENT CHICAGO IL
RATER 1	76	95	88
RATER 2	84	88	85
RATER 3	66	91	86
RATER 4	64	86	82
<b>TOTAL POINTS</b>	290	360	341
<b>AVERAGE POINTS</b>	73	90	85
<b>COST</b>	First \$20 million at 20 basis points Anything over \$20 million at 15 basis points	12 basis points \$1,000 minimum monthly	First \$25 million at 12 basis points Anything over \$25 million at 8 basis points

Request for Proposal was advertised in the Janesville Gazette and on the Internet. Seven additional vendors were solicited that did not respond. One vendor submitted a non-responsive proposal because they did not meet the minimum qualifications.

PREPARED BY: JODI MILLIS, PURCHASING MANAGER

DEPARTMENT HEAD RECOMMENDATION: CUTWATER ASSET MANAGEMENT

\_\_\_\_\_  
SIGNATURE DATE

GOVERNING COMMITTEE APPROVAL:

\_\_\_\_\_  
CHAIR VOTE DATE

PURCHASING PROCEDURAL ENDORSEMENT: \_\_\_\_\_  
CHAIR VOTE DATE